

Real Estate Investment Securities Association
Two Meridian Plaza, Suite 202
10401 N. Meridian Street
Indianapolis, IN 46290
Phone: 317.663.4177
Fax: 317.815.0871

APPLICATION FOR MEMBERSHIP
or join/renew online at www.reisa.org



MEMBERSHIP CLASSIFICATIONS

Membership category must be based on what best fits the majority of your business.

SPONSOR COMPANY MEMBER:

Engaged in the sale or management of securities or other real estate offerings.

Membership Fee: \$5,000. Includes (3) individual members who are employees of the sponsor.

An unlimited number of members can be included in the membership for an additional \$99/individual.

Eligible for sponsorship at REISA events.

ASSOCIATE MEMBER: **Broker-Dealer** **RIA**

A Broker-Dealer (BD) or Registered Investment Advisor (RIA) member is a firm that has the ability and primary purpose to directly execute selling agreements with and raise capital for programs of non-affiliated sponsor firms on behalf of producing Registered Representatives (RRs) and Investment Advisor Representatives (IARs)

REISA provides a complimentary membership for all Broker-Dealers and RIAs.

In addition, BDs and RIAs receive up to two complimentary registrations for all REISA annual conferences and forums.

Excludes producing RRs/IARs whose primary business is retail sales to investors.

AFFILIATE COMPANY MEMBER:

Attorneys, Independent Wholesaling Firms, Sponsor Affiliated Broker-Dealers, Managing Broker-Dealers, Due Diligence Firms, Real Estate Firms, Management Companies, Mortgage Brokers, Lenders, Accountants, Consultants, Appraisal Firms, QI's and other Industry Related Parties.

Membership Fee: \$2,500. Includes three (3) individual members who are employees, partners or principals of the affiliate member.

An unlimited number of members can be included in the membership for an additional \$99/individual.

Eligible for sponsorship at REISA events.

AFFILIATE SINGLE MEMBER (not a corporate membership):

See list above, including Independent Wholesalers and other industry related parties.

Membership Fee: \$1,000.

Affiliate single members are not eligible for sponsorship at REISA events.

INDIVIDUAL RETAIL MEMBER:

(Retail-producing) Registered Representative, Investment Adviser/Investment Advisory Representative (IAR) and Licensed Real Estate Broker. All individual retail members must have an active license, but may be currently unaffiliated with a broker-dealer firm or real estate brokerage firm.

Membership Fee: \$199.

Not eligible for sponsorship at REISA events.

Registered Representative. Your CRD # _____

Investment Adviser/Investment Advisory Representative (IAR). Your RIA: _____

Licensed Real Estate Broker. Your Real Estate License # _____

COMPANY NAME _____

Address _____

City, State, Zip _____

Telephone _____ Fax _____

Toll free _____ Mobile _____

Web site _____ E-mail _____

Primary/Individual Contact _____

Affiliate Member: Please list your firm's primary business _____

Industry: Energy Natural Resources Oil & Gas Partnerships Real Estate Loan Programs

Real Estate Mutual Funds REITs 1031 (DST) 1031 (TIC) Other _____

REISA has a "Member Locator" section of its web site in which the general public may search for members based upon certain information such as location, membership type, industry type, etc. **Do you wish to be included in the member locator?** Yes No It is important to note that participation in the member locator may be considered advertising by the Financial Industry Regulatory Authority ("FINRA"), and if you are licensed with FINRA, participation in the member locator may require pre-approval from your broker-dealer.

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APPLICATION FOR MEMBERSHIP

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FAQs:

- **If a member may be eligible for multiple classes, the appropriate membership class is determined by the primary business purpose of the member’s relationship with REISA.** For example, a principal of a sponsor who is also a licensed registered representative, and who is primarily a member of REISA in order to market, promote or solicit for the benefit of the sponsor, is defined as a sponsor member.
- **Members are expected to select their classification ethically and not circumvent the intent of the classes for their own financial or other benefit.** In the event that there is any uncertainty regarding a member’s classification, please contact the REISA Executive Director for clarification.
- A **managing broker-dealer** is defined as an affiliate member, regardless if they are affiliated with a retail broker-dealer. Persons or firms that are broker-dealer members, but do not register as affiliate members for their managing broker-dealers, are prohibited from marketing, promoting, or soliciting through REISA or at REISA events for their managing broker-dealer or its client sponsor firms.
- If a **sponsor has an in-house broker-dealer**, the sponsor broker-dealer is defined as a managing broker-dealer and may join as an affiliate (not as an associate broker-dealer), but only if the sponsor is also a member.
- **Wholesalers** are either defined as affiliate or sponsor members, never as individual retail members. A sponsor affiliated wholesaler may join as an additional member of a sponsor’s company membership or as an affiliate single member.
- An **associate member** is a retail broker-dealer or RIA, defined as a firm whose primary business purpose is in the retail distribution of securities through registered representatives or IARs. This classification does not include managing broker-dealers, sponsor affiliate broker-dealers or broker-dealers that primarily license wholesalers.



Sponsor: Primary contact listed on the front of this form; list below the two (2) additional members included in your membership investment of \$5,000; additional listings are @ \$99/individual.

Associate: Primary contact listed on the front of this form; list additional members below.

Affiliate Company: Primary contact listed on the front of this form; list below the two (2) additional members included in your membership investment of \$2,500; additional listings are @ \$99/individual.

1) Included Member _____ E-mail _____

2) Included Member _____ E-mail _____

3) Additional Member _____ E-mail _____

4) Additional Member _____ E-mail _____

5) Additional Member _____ E-mail _____

PAYABLE VIA CHECK OR CREDIT CARD

IF PAYING BY CHECK, PLEASE MAIL TO REISA (address on front of form)

IF PAYING BY CREDIT CARD, FAX APPLICATION TO 317.815.0871

Type of Card: MasterCard Visa American Express Discover **Billing Zip Code of Credit Card** _____

Card Number: _____ Expiration Date: Month _____ Year _____

Name as it Appears on Card: _____

Signature: _____

Pay dues online via eCheck or credit card; recurring quarterly payments by eCheck or credit card also available online www.reisa.org

REISA membership fees are renewed annually on the first day of your anniversary month and are non-refundable.